Digital Modulation

Change which part of the Carrier?

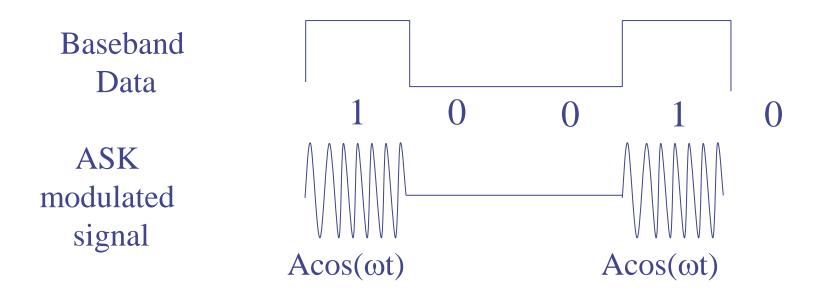
Carrier: $A \sin[\omega t + \phi]$

- A = const
- $\omega = \text{const}$
- $\phi = const$
- Amplitude modulation (AM)
 - A = A(t) carries
 information
 - $\omega = \text{const}$
 - $\phi = const$

- Frequency modulation (FM)
 - A = const
 - $\omega = \omega(t)$ carries information
 - $\phi = const$
- Phase modulation (PM)
 - A = const
 - $\omega = \text{const}$

•
$$\phi = \phi(t)$$
 – carries information

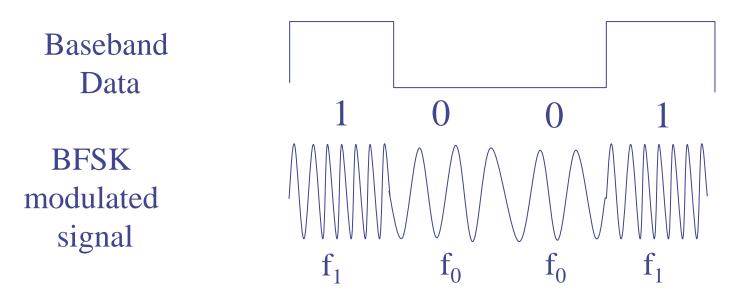
Amplitude Shift Keying (ASK)



Pulse shaping can be employed to remove spectral spreading

ASK demonstrates poor performance, as it is heavily affected by noise, fading, and interference

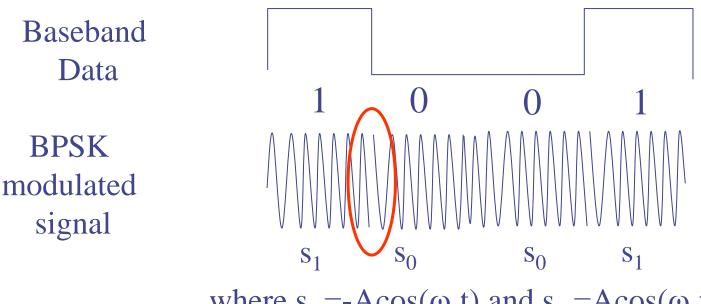
Frequency Shift Keying (FSK)



where $f_0 = A\cos(\omega_c - \Delta\omega)t$ and $f_1 = A\cos(\omega_c + \Delta\omega)t$

Example: The ITU-T V.21 modem standard uses FSK FSK can be expanded to a M-ary scheme, employing multiple frequencies as different states

Phase Shift Keying (PSK)



where $s_0 = -A\cos(\omega_c t)$ and $s_1 = A\cos(\omega_c t)$

Major drawback – rapid amplitude change between symbols due to phase discontinuity, which requires infinite bandwidth. Binary Phase Shift Keying (BPSK) demonstrates better performance than ASK and BFSK BPSK can be expanded to a M-ary scheme, employing multiple phases and amplitudes as different states

Binary Phase Shift Keying (BPSK)

If the sinusoidal carrier has an amplitude A_c and energy per bit E_b

Then the transmitted BPSK signal is either:

$$S_{\text{BPSK}}(t) = \sqrt{\frac{2E_b}{T_b}} \cos\left(2\pi f_c t + \theta_c\right) \quad 0 \le t \le T_b \text{ (binary 1)}$$

$$S_{\text{BPSK}}(t) = \sqrt{\frac{2E_b}{T_b}} \cos\left(2\pi f_c t + \pi + \theta_c\right)$$

$$= -\sqrt{\frac{2E_b}{T_b}} \cos\left(2\pi f_c t + \theta_c\right) \quad 0 \le t \le T_b \text{ (binary 0)}$$

Linear Modulation Techniques:

Digital modulation can be broadly classified as:

- 1. Linear (change Amplitude or phase)
- 2. Non linear modulation techniques (change frequency).
- Linear Modulation Techniques:
- The amplitude /phase of the transmitted signal s(t), varies linearly with the modulating digital signal, m(t).
- These are bandwidth efficient (because it doesn't change frequency) and hence are very attractive for use in wireless communication systems where there is an increasing demand to accommodate more and more users within a limited spectrum.

Pros & Cons

 Linear Modulation schemes have very good spectral efficiency,

 However, they must be transmitted using linear RF amplifiers which have poor power efficiency.

Note

Phase modulation" can be regarded as "amplitude" modulation because it can really change "envelope";

Thus both of them belong to "linear modulation"!

Differential Modulation

In the transmitter, each symbol is modulated relative to the previous symbol and modulating signal, for instance in BPSK 0 = no change, $1 = +180^{\circ}$

In the receiver, the current symbol is demodulated using the previous symbol as a reference. The previous symbol serves as an estimate of the channel. A no-change condition causes the modulated signal to remain at the same 0 or 1 state of the previous symbol.

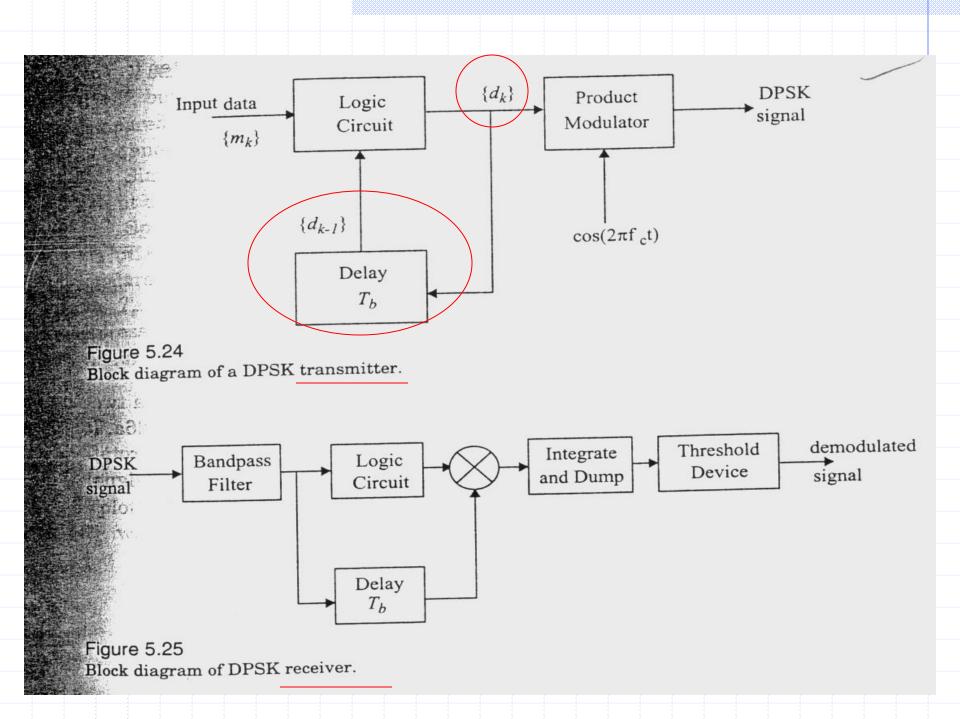


Table 5.1 Illustration of the Differential Encoding Process

$\{m_k\}$		1	0	0	1	0	1	1	0
$\{d_{k.1}\}$		1	1	0	1	1	0	0	0
$\{d_k\}$	1	1	0	1	1	0	0	0	1

DPSK

Let $\{d_k\}$ denote the differentially encoded sequence with this added reference bit. We now introduce the following definitions in the generation of this sequence:

• If the incoming binary symbol b_k is 1, leave the symbol d_k unchanged with respect to the previous bit.

• If the incoming binary symbol b_k is 0, change the symbol d_k with respect to the previous bit.

DPSK

• to send symbol 0, we advance the phase of the current signal waveform by 180 degrees,

• to send symbol 1, we leave the phase of the current signal waveform unchanged.

Generation of DPSK:

• The differential encoding process at the transmitter input starts with an arbitrary first bit, serving as reference.

Differential Phase Shift Keying (DPSK):

• DPSK is a non coherent form of phase shift keying which avoids the need for a coherent reference signal at the receiver.

Advantage:

• Non coherent receivers are easy and cheap to build, hence widely used in wireless communications.

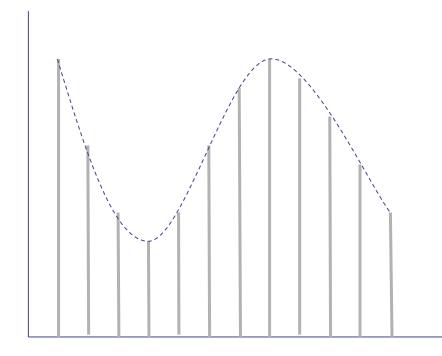
•DPSK eliminates the need for a coherent reference signal at the receiver by combining two basic operations at the transmitter:

Pulse Carrier

	. 1		 	 	 	 	

 Carrier:
 A train of identical pulses regularly spaced in time

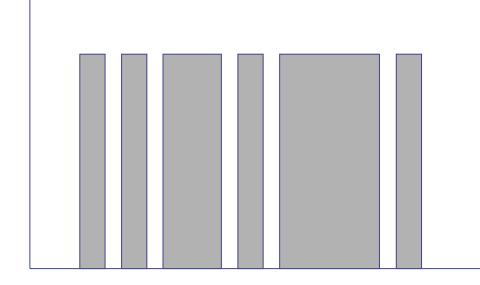
Pulse-Amplitude Modulation (PAM)



Modulation in which the amplitude of pulses is varied in accordance with the modulating signal.

Used e.g. in telephone switching equipment such as a private branch exchange (PBX)

Pulse-Duration Modulation (PDM)



Used e.g. in telephone switching equipment such as a private branch exchange (PBX) Modulation in which the duration of pulses is varied in accordance with the modulating signal.

Deprecated synonyms: pulse-length modulation, pulse-width modulation.

Demodulation & Detection

Demodulation

- Is process of removing the carrier signal to obtain the original signal waveform
- Detection extracts the symbols from the waveform
 - Coherent detection
 - Non-coherent detection

Coherent Detection

An estimate of the channel phase and attenuation is recovered. It is then possible to reproduce the transmitted signal and demodulate.

Requires a replica carrier wave of the same frequency and phase at the receiver.

Also known as synchronous detection (I.e. carrier recovery)

Coherent Detection 2

Carrier recovery methods include Pilot Tone (such as Transparent Tone in Band) Less power in the information bearing signal, High peakto-mean power ratio Carrier recovery from the information signal E.g. Costas loop Applicable to Phase Shift Keying (PSK) Frequency Shift Keying (FSK) Amplitude Shift Keying (ASK)

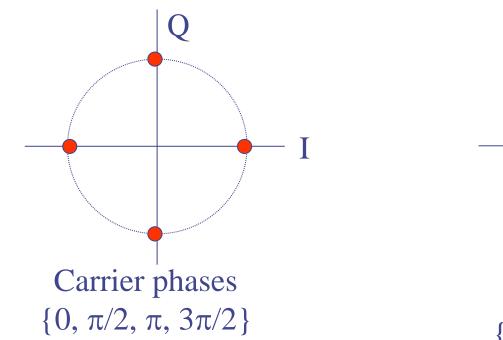
Non-Coherent Detection

- Requires no reference wave; does not exploit phase reference information (envelope detection)
 - Differential Phase Shift Keying (DPSK)
 - Frequency Shift Keying (FSK)
 - Amplitude Shift Keying (ASK)
 - Non coherent detection is less complex than coherent detection (easier to implement), but has worse performance.

QPSK

Quadrature Phase Shift Keying (QPSK) can be interpreted as two independent BPSK systems (one on the I-channel and one on Q-channel), and thus the same performance but twice the bandwidth (spectrum) efficiency.

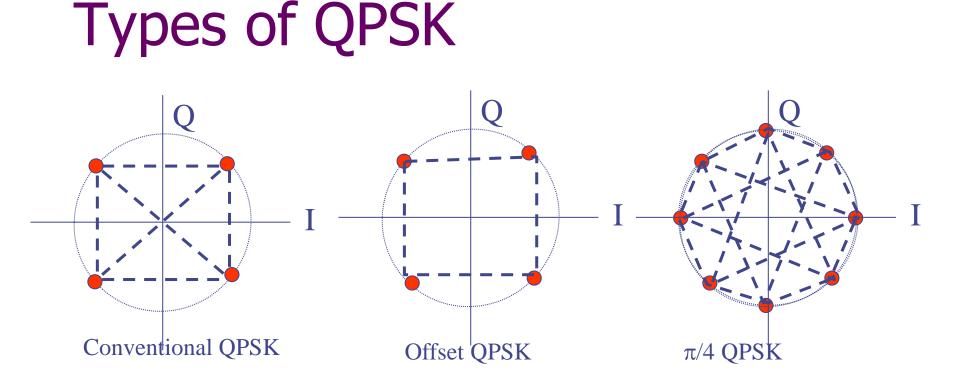
QPSK Constellation Diagram



 $\int_{\text{Carrier phases}} I$

()

Quadrature Phase Shift Keying has twice the bandwidth efficiency of BPSK since 2 bits are transmitted in a single modulation symbol



- Conventional QPSK has transitions through zero (i.e. 180^o phase transition). Highly linear amplifiers required.
- In Offset QPSK, the phase transitions are limited to 90°, the transitions on the I and Q channels are staggered.
- In $\pi/4$ QPSK the set of constellation points are toggled each symbol, so transitions through zero cannot occur. This scheme produces the lowest envelope variations.
- All QPSK schemes require linear power amplifiers

Quadrature Phase Shift Keying (QPSK):

•Also a type of linear modulation scheme

•Quadrature Phase Shift Keying (QPSK) has twice the bandwidth efficiency of BPSK, since 2 bits are transmitted in a single modulation symbol.

• The phase of the carrier takes on 1 of 4 equally spaced values, 0, $\pi/2$, π , and $3\pi/2$, where each value of phase corresponds to a unique pair of message bits.

The QPSK signal for this set of symbol states may be

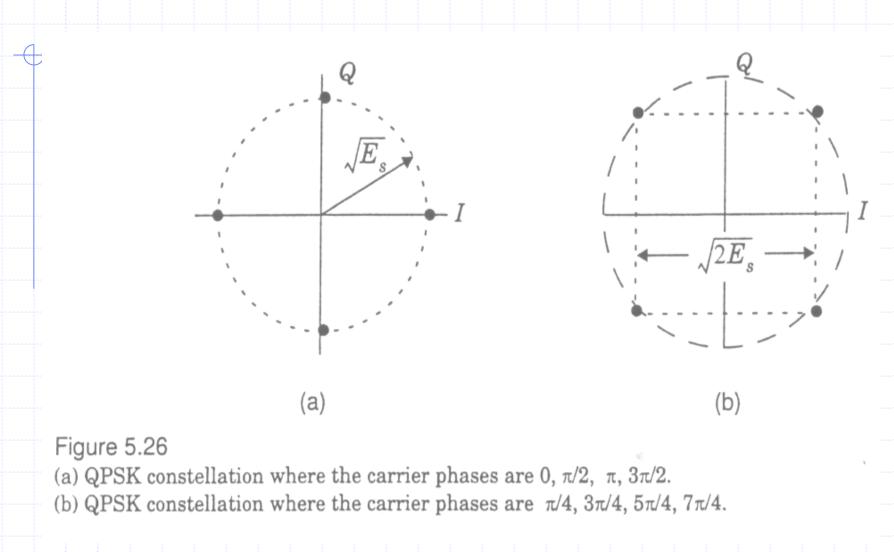
$$S_{\text{QPSK}}(t) = \sqrt{\frac{2E_s}{T_s}} \cos\left[2\pi f_c t + (i-1)\frac{\pi}{2}\right] \quad 0 \le t \le T_s \quad i = 1, 2, 3, 4.$$

QPSK

$$S_{\text{QPSK}} = \left\{ \sqrt{E_s} \cos\left[(i-1)\frac{\pi}{2} \right] \phi_1(t) - \sqrt{E_s} \sin\left[(i-1)\frac{\pi}{2} \right] \phi_2(t) \right\} \ i = 1, 2, 3, 4$$

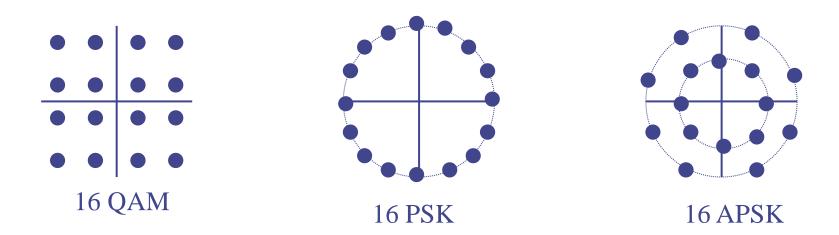
 The striking result is that the bit error probability of QPSK is identical to BPSK, but twice as much data can be sent in the same bandwidth. Thus, when compared to BPSK, QPSK provides <u>twice the spectral efficiency</u> with exactly the same energy efficiency.

• Similar to BPSK, QPSK can also be differentially encoded to allow non-coherent detection.



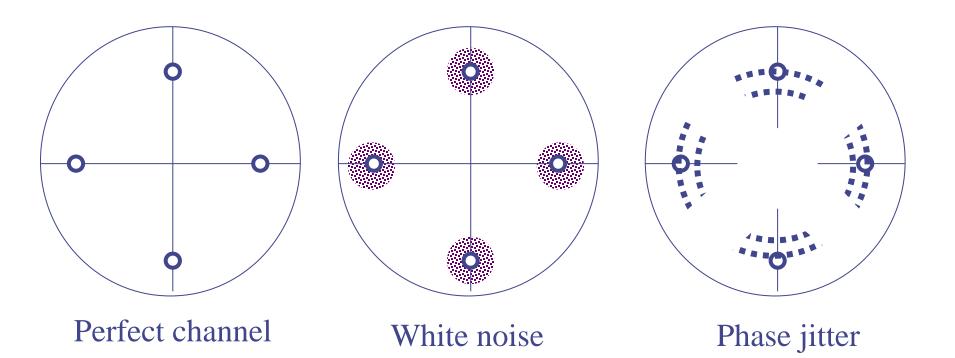
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Multi-level (M-ary) Phase and Amplitude Modulation



- Amplitude and phase shift keying can be combined to transmit several bits per symbol.
 - Often referred to as *linear* as they require linear amplification.
 - More bandwidth-efficient, but more susceptible to noise.
- For M=4, 16QAM has the largest distance between points, but requires very linear amplification. 16PSK has less stringent linearity requirements, but has less spacing between constellation points, and is therefore more affected by noise.

Distortions



Bandwidth Efficiency

$$\frac{f_b}{W} = \log_2\left(1 + \frac{E_b f_b}{\eta W}\right)$$

- f_b = capacity (bits per second)
- W = bandwidth of the modulating baseband signal (Hz)

 E_b = energy per bit

 η = noise power density (watts/Hz)

Thus

 $E_b f_b = \text{total signal power}$

 $\eta W = \text{total noise power}$

$$\frac{f_b}{W}$$
 = bandwidth use efficiency

= bits per second per Hz

Comparison of Modulation Types

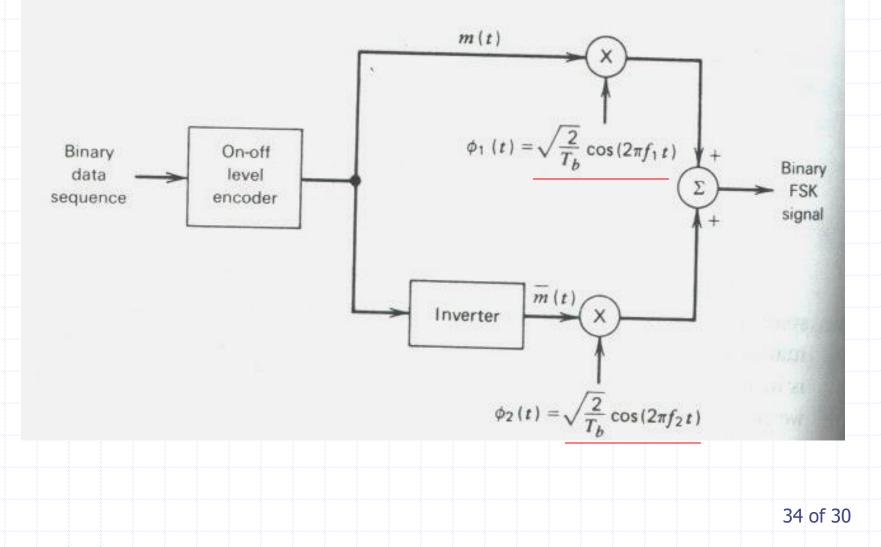
Modulation Format	Bandwidth efficiency C/B	Log2(C/B)	Error-free Eb/N0
16 PSK	4	2	18dB
16 QAM	4	2	15dB
8 PSK	3	1.6	14.5dB
4 PSK	2	1	10dB
4 QAM	2	1	10dB
BFSK	1	0	13dB
BPSK	1	0	10.5dB

Spectral Efficiencies -Examples

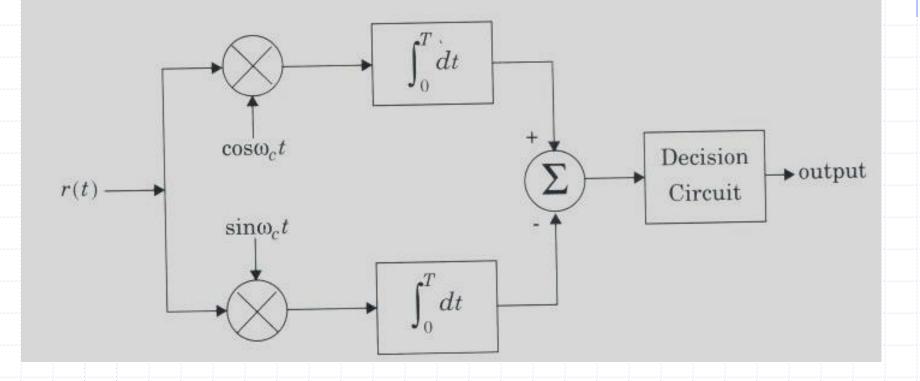
GSM Europe Digital Cellular

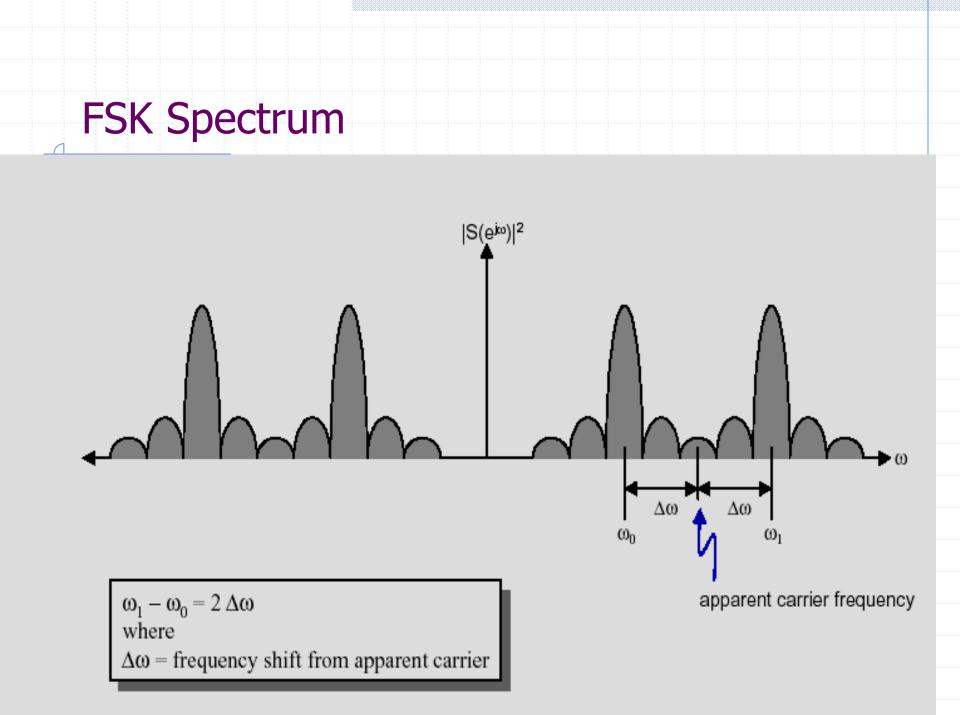
- Data Rate = 270kb/s; Bandwidth = 200kHz
- Bandwidth efficiency = 270/200 = 1.35bits/sec/Hz
- ♦ IS-95 North American Digital Cellular
 - Data Rate = 48kb/s; Bandwidth = 30kHz
 - Bandwidth efficiency = 48/30 = 1.6bits/sec/Hz

BFSK Transmitter



Coherent Detection Of BFSK





Minimum Shift Keying (MSK)

MSK is a continuous phase-frequency shift keying;

Why MSK?

-- Exploitation of Phase Information besides frequency.

Representation of a MSK signal

$$s(t) = s_1\phi_1(t) + s_2\phi_2(t) \qquad 0 \le t \le T_b$$

the appropriate form for the orthonormal basis functions $\phi_1(t)$ and $\phi_2(t)$ is as follows:

$$\phi_{\rm I}(t) = \sqrt{\frac{2}{T_b}} \cos\left(\frac{\pi}{2T_b}t\right) \cos(2\pi f_c t) \qquad -T_b \le t \le T_b \tag{7.59}$$

and

$$\phi_2(t) = \sqrt{\frac{2}{T_b}} \sin\left(\frac{\pi}{2T_b}t\right) \sin(2\pi f_c t) \qquad 0 \le t \le 2T_b \tag{7.60}$$

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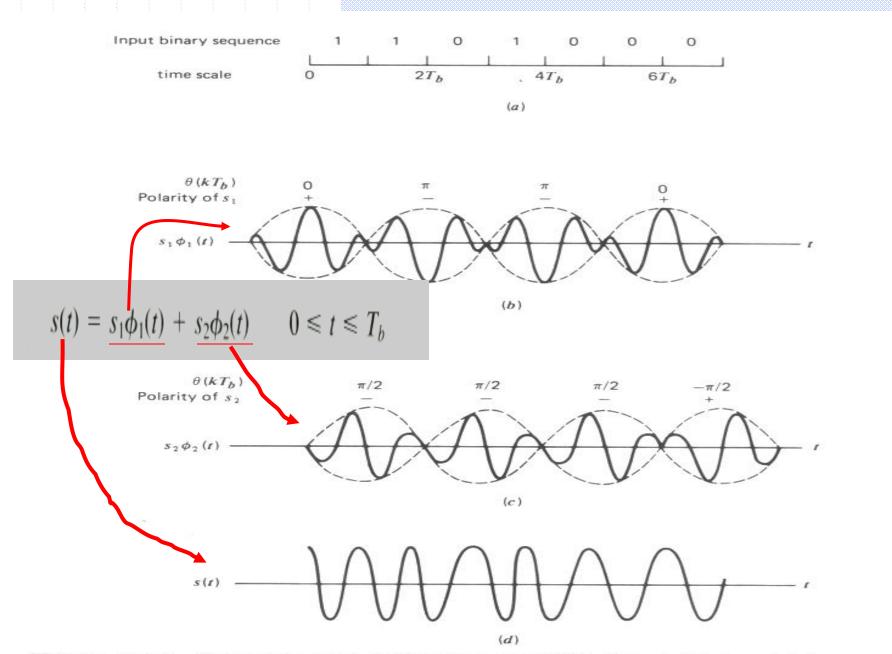
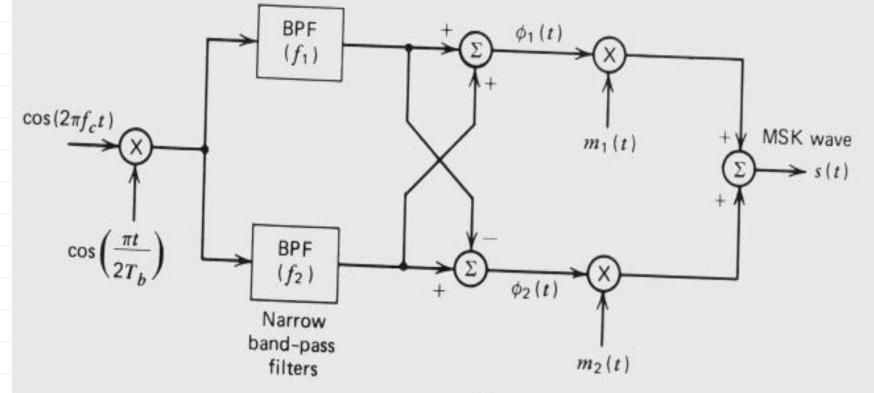
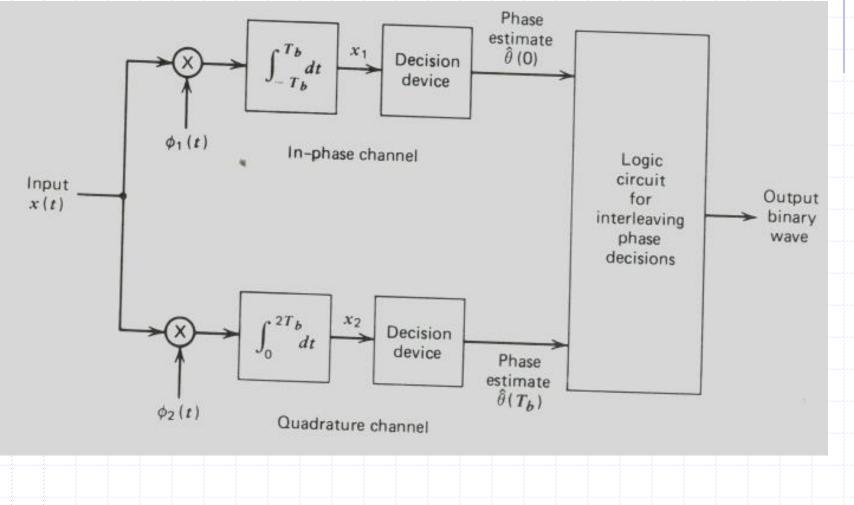


Figure 7.13 Sequence and waveforms for MSK signal. (a) Input binary sequence. (b) Scaled time function $s_1\phi_1(t)$. (c) Scaled time function $s_2\phi_2(t)$. (d) MSK signal s(t) obtained by adding $s_1\phi_1(t)$ and $s_2\phi_2(t)$ on a bit-by-bit basis.

MSK Transmitter



MSK Receiver



M-ary

Combined Linear and nonlinear (Constant Envelope) Modulation Techniques

Topics :

 What is M-ary modulation?
 Various M-ary modulation Techniques: M-ary Phase Shift Keying (MPSK)
 M-ary Quadrature Amplitude Modulation (QAM)
 M-ary Frequency Shift Keying (MFSK)

Definition:

In this modulation Technique the digital data is sent by varying both the envelope and phase(or frequency) of an RF carrier.

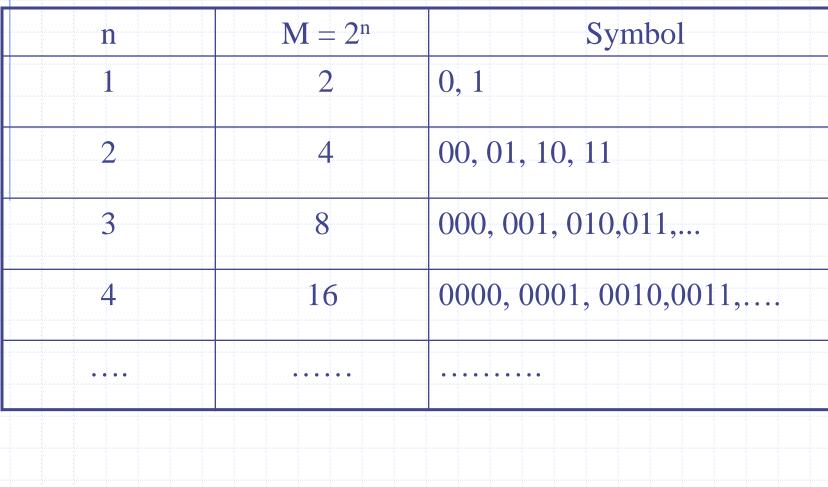
These modulation techniques map base band data into four or more possible RF carrier signals. Hence, these modulation techniques are called M-ary modulation.

M-ary signaling scheme:

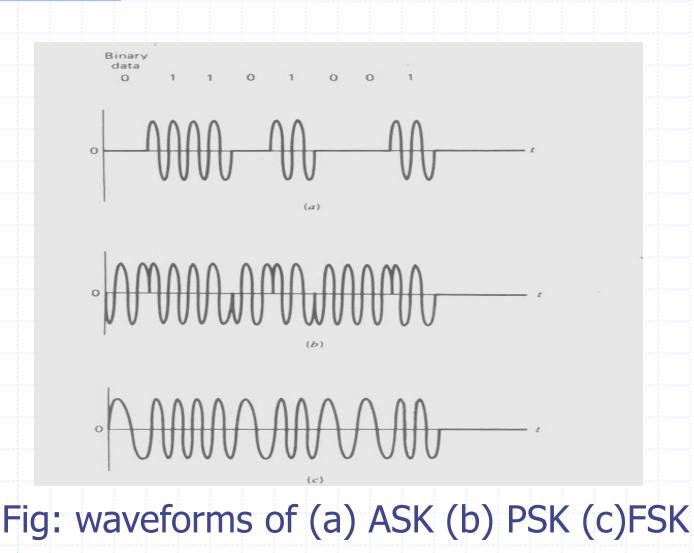
- In this signaling scheme 2 or more bits are grouped together to form a symbol.
- One of the M possible signals

 s₁(t) ,s₂(t),s₃(t),.....s_M(t)
 is transmitted during each symbol period of duration T_s.
- The number of possible signals = M = 2ⁿ, where n is an integer.

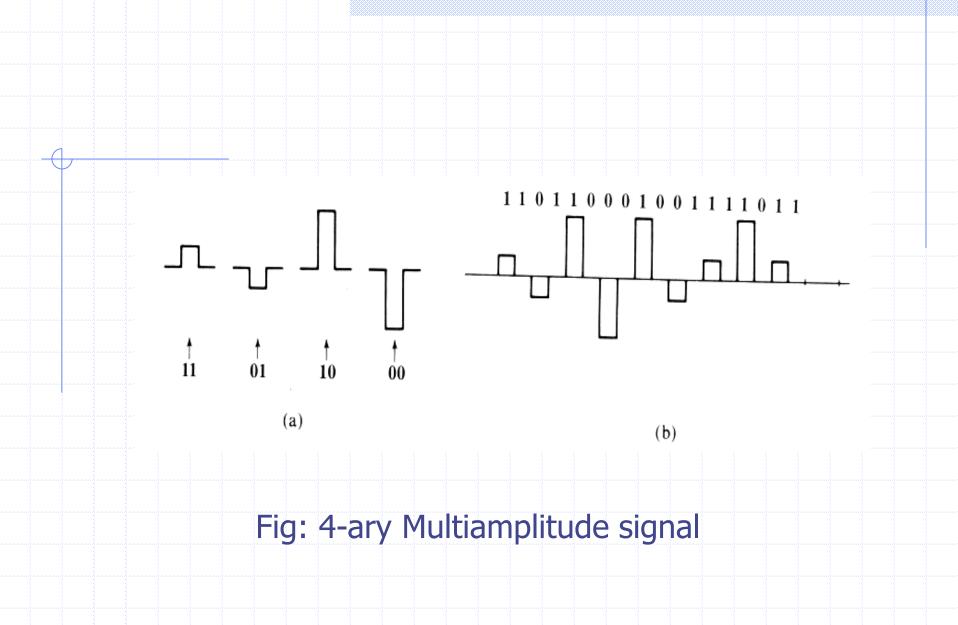
The symbol values of M for a given value of n:



 Depending on the variation of amplitude, phase or frequency of the carrier, the modulation scheme is called as M-ary ASK, M-ary PSK and M-ary FSK.



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M-ary Phase Shift Keying(MPSK)

In M-ary PSK, the carrier phase takes on one of the M possible values, namely θ_i = 2 * (i - 1)π / M where i = 1, 2, 3,M.
 The modulated waveform can be expressed as

$$S_{i}(t) = \sqrt{\frac{2E_{s}}{T_{s}}} \cos\left(2\pi f_{c}t + \frac{2\pi}{M}(i-1)\right), \ 0 \le t \le T_{s} \quad i = 1, 2, ..., M$$

where E_s is energy per symbol = $(log_2 M) E_b$ T_s is symbol period = $(log_2 M) T_b$.

The above equation in the Quadrature form is

$$\begin{split} S_i(t) &= \sqrt{\frac{2E_s}{T_s}} \cos\left[(i-1)\frac{2\pi}{M}\right] \cos\left(2\pi f_c t\right) & i = 1, 2, ..., M \\ &- \sqrt{\frac{2E_s}{T_s}} \sin\left[(i-1)\frac{2\pi}{M}\right] \sin\left(2\pi f_c t\right) \end{split}$$

By choosing orthogonal basis signals

$$\phi_1(t) = \sqrt{\frac{2}{T_s}} \cos\left(2\pi f_c t\right) \,,$$

$$\phi_2(t) = \sqrt{\frac{2}{T_s}} \sin\left(2\pi f_c t\right)$$

defined over the interval $0 \le t \le T_s$

M-ary signal set can be expressed as

$$S_{\text{M-PSK}}(t) = \{ \sqrt{E_s} \cos\left[(i-1)\frac{\pi}{2} \right] \phi_1(t) - \sqrt{E_s} \sin\left[(i-1)\frac{\pi}{2} \right] \phi_2(t) \}$$

$$i = 1, 2, ..., M$$

Since there are only two basis signals, the constellation of M-ary PSK is two dimensional.

¹⁰ The M-ary message points are equally spaced on a circle of radius $\sqrt{E_s}$, centered at the origin.

The constellation diagram of an 8-ary PSK signal set is shown in fig.

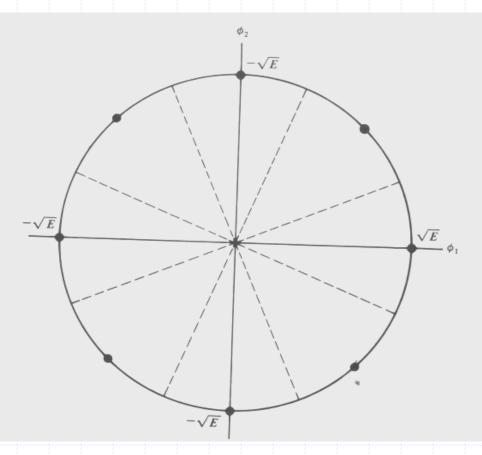


Fig: Constellation diagram of an M-ary PSK system(m=8)

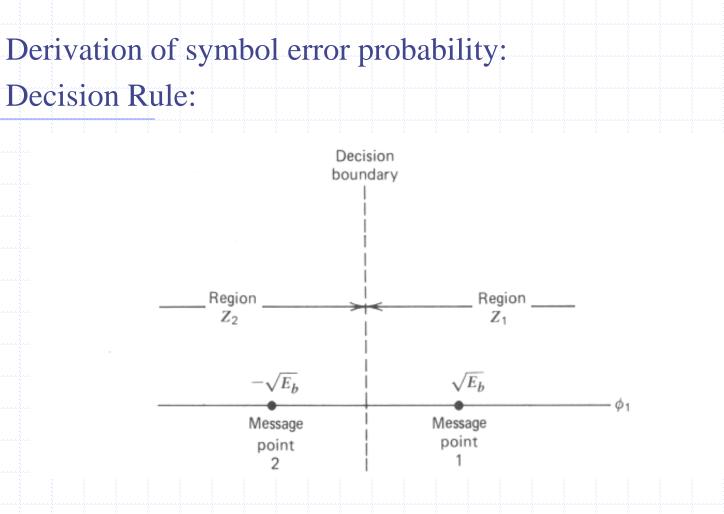


Fig: Constellation diagram for M=2 (Binary PSK)

If a symbol (0,0,0) is transmitted, it is clear
 that if an error occurs, the transmitted signal is most likely to be mistaken for (0,0,1) and (1,1,1) and the signal being mistaken for (1,1,0) is remote.

The decision pertaining to (0,0,0) is bounded by $\theta = -\pi/8$ (below $\phi_1(t)$ - axis) to $\theta = +\pi/8$ (above $\phi_2(t)$ - axis)

The probability of correct reception is...

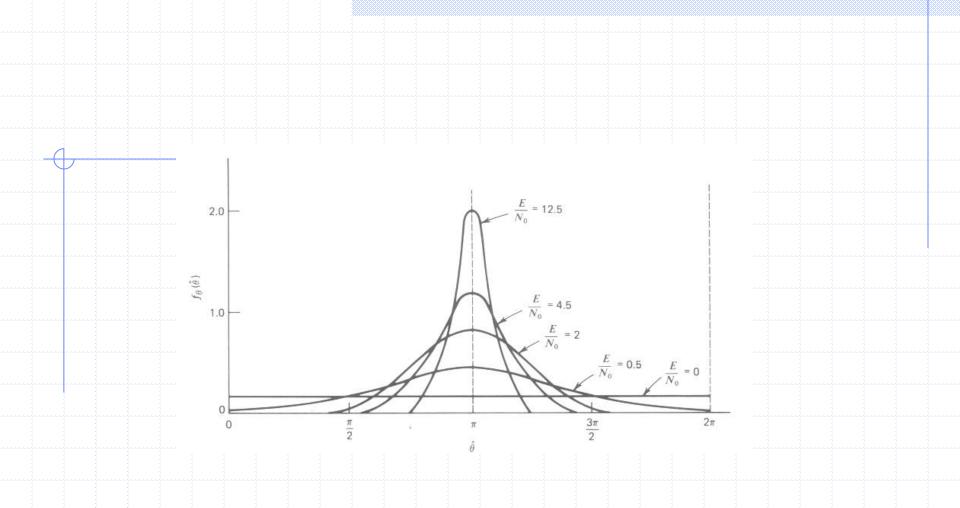


Fig: Probability density function of Phase θ .

 The average symbol error probability of an coherent M-ary PSK system in AWGN channel is given by

$$P_{e} \leq 2Q \left(\sqrt{\frac{2E_{b}\log_{2}M}{N_{0}}} \sin\left(\frac{\pi}{M}\right) \right)$$

Similarly, The symbol error Probability of a differential Mary PSK system in AWGN channel is given by

$$P_{e} \approx 2Q \left(\sqrt{\frac{4E_{s}}{N_{0}}} \sin\left(\frac{\pi}{2M}\right) \right)$$

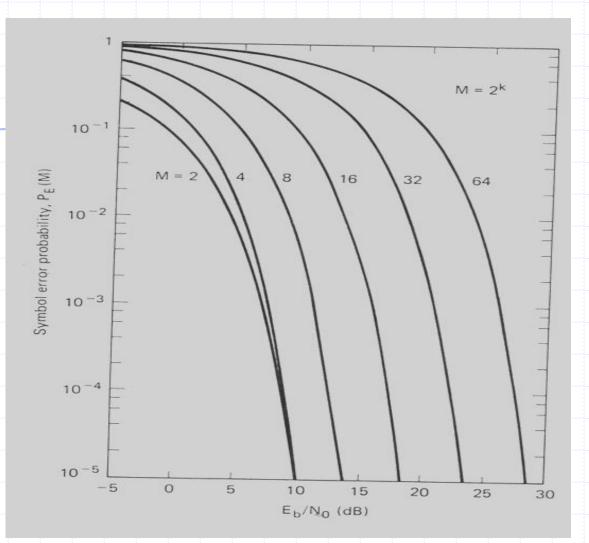


Fig: The performance of symbol error probability for -different values of M

Power Efficiency and Bandwidth :

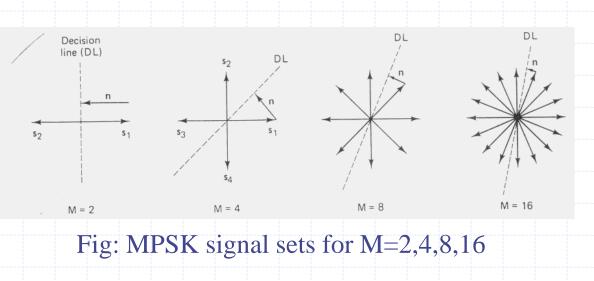


Table 5.4 Bandwidth and Power Efficiency of M-ary PSK Signals

M	2	4	8	16	32	64
$\eta_B = R_b / B^*$	0.5	1	1.5	2	2.5	3
E_b/N_o for BER=10 ⁻⁶	10.5	10.5	14	18.5	23.4	28.5

* B: First null bandwidth of M-ary PSK signals

Power efficiency:

Increasing M implies that the constellation is more densely packed, and hence the power efficiency (noise tolerance) is increased.

Bandwidth Efficiency:

- The first null bandwidth of M-ary PSK signals decrease as M increases while R_b is held constant.
- Therefore, as the value of M increases, the bandwidth efficiency also increases.

M-ary Quadrature Amplitude Modulation (QAM)

It's a Hybrid modulation

 As we allow the amplitude to also vary with the phase, a new modulation scheme called quadrature amplitude modulation (QAM) is obtained.

The constellation diagram of 16-ary QAM consists of a square lattice of signal points.

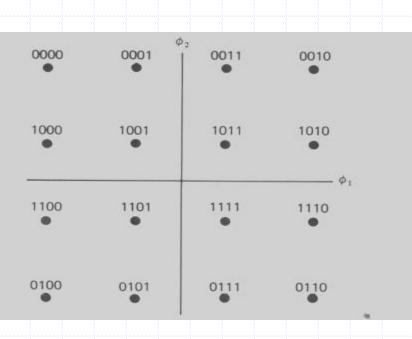


Fig: signal Constellation of M-ary QAM for M=16

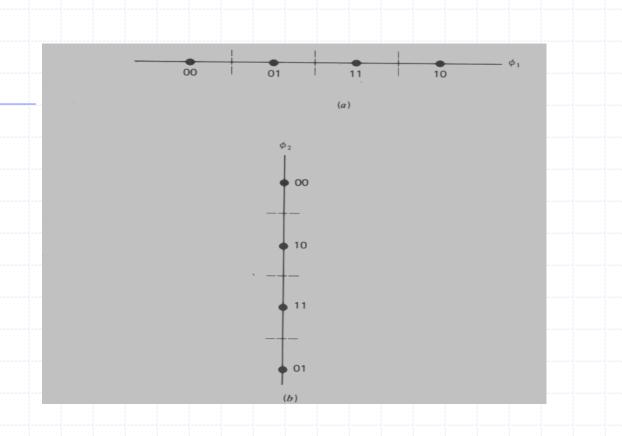


Fig: Decomposition of signal Constellation of M-ary QAM

The general form of an M-ary QAM signal can be defined

$$S_i(t) = \sqrt{\frac{2E_{min}}{T_s}} a_i \cos\left(2\pi f_c t\right) + \sqrt{\frac{2E_{min}}{T_s}} b_i \sin\left(2\pi f_c t\right)$$

 $0 \leq t \leq T \qquad \qquad i \ = \ 1, \, 2, \, ..., \, M$

where

as

 E_{\min} is the energy of the signal with the lowest amplitude and

 a_i and b_i are a pair of independent integers chosen according to the location of the particular signal point.

In M-ary QAM energy per symbol and also distance between possible symbol states is not a constant.



It reasons that particular values of S_i (t) will be detected with higher probability than others.

The signal S_i (t) may be expanded in terms of a pair of basis functions defined as $\phi_1(t) = \sqrt{\frac{2}{\pi t}} \cos(2\pi f_c t) \quad 0 \le t \le T_s$

$$\phi_2(t) = \sqrt{\frac{2}{T_s}} \sin(2\pi f_c t) \quad 0 \le t \le T_s$$

The coordinates of the i th message point are $a_i \sqrt{Emin}$ and $b_i \sqrt{Emin}$ where (a_i, b_i) is an element of the L by L matrix given by

$$\{a_i, b_i\} = \begin{bmatrix} (-L+1, L-1) & (-L+3, L-1) & \dots & (L-1, L-1) \\ (-L+1, L-3) & (-L+3, L-3) & \dots & (L-1, L-3) \end{bmatrix}$$

$$\begin{bmatrix} (-L+1, -L+1) & (-L+3, -L+1) & \dots & (L-1, -L+1) \end{bmatrix}$$

Where L = \sqrt{M} .

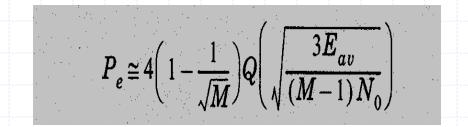
• For the example M=16- QAM the L by L matrix is

Derivation of symbol error probability:

The average probability of error in an AWGN channel is given by

$$P_{e} \cong 4 \left(1 - \frac{1}{\sqrt{M}} \right) Q \left(\sqrt{\frac{2E_{min}}{N_{0}}} \right)$$

\bullet In terms of average signal energy, E_{avg}



Power Efficiency and Bandwidth :

Power efficiency of QAM is superior to M-ary PSK.

Sandwidth efficiency of QAM is identical to Mary PSK.

М	4	16	64	256	1024	4096
ז <i>ש</i>	1	2	3	4	5	6
E_b/N_o for BER=10 ⁻⁶	10.5	15	18.5	24	28	33.5

Table 5.5 Bandwidth and Power Efficiency of QAM [Zie92]

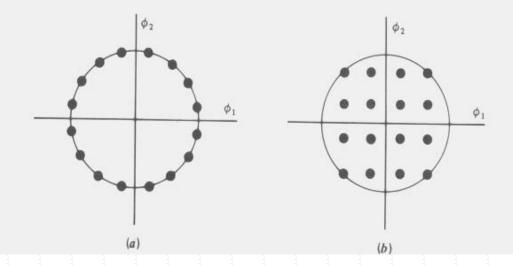
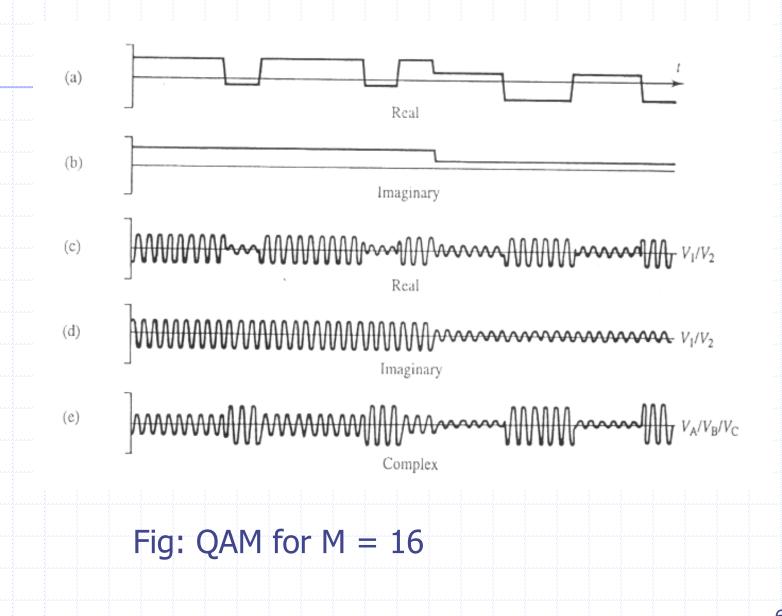


Fig: signal constellation of M-ary QPSK and M-ary QAM(M=16)



M-ary Frequency Shift Keying(MFSK)

In M-ary FSK modulation the transmitted signals are defined by:

$$S_i(t) = \sqrt{\frac{2E_s}{T_s}} \cos\left[\frac{\pi}{T_s}(n_c+i)t\right] \ 0 \le t \le T_s \ i = 1, 2, ..., M$$

where $f_c = n_c/2T_s$, for some fixed integer n.

The M transmitted signals are of equal energy and equal duration, and the signal frequencies are separated by 1/2T_s Hertz, making the signals orthogonal to one another.

 The average probability of error based on the union bound is given by

$$P_{e} \leq (M-1) Q \left(\sqrt{\frac{\bar{E}_{b} \log_{2} M}{N_{0}}} \right)$$

Using only the leading terms of the binomial expansion:

$$P_{e} = \sum_{k=1}^{M-1} \left(\frac{(-1)^{k+1}}{k+1}\right) \binom{M-1}{k} \exp\left(\frac{-kE_{s}}{(k+1)N_{0}}\right)$$

Power Efficiency and Bandwidth :

Bandwidth:

Table 5.6 Bandwidth and Power Efficiency of Coherent M-ary FSK [Zie92]

М	2	4	8	16	32	64
η _{<i>B</i>}	0.4	0.57	0.55	0.42	0.29	0.18
E_b/N_o for BER=10 ⁻⁶	13.5	10.8	9.3	8.2	7.5	6.9

¹⁰The channel bandwidth of a M-ary FSK signal is :

$$B = \frac{R_b \left(M + 3\right)}{2\log_2 M}$$

The channel bandwidth of a noncohorent MFSK is :

$$B = \frac{R_b M}{2\log_2 M}$$

This implies that the bandwidth efficiency of an M-ary FSK signal decreases with increasing M. Therefore, unlike M-PSK signals, M-FSK signals are bandwidth inefficient.